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MINNESOTA NOTICE FORM

Notice of Psychologist's Policies and Practices to Protect the Privacy of your Patient's Health Information

THIS NOTICE DESCRIBES HOW PSYCHOLOGICAL AND MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESSED TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

I Uses and Disclosure for Treatment Payment, and Health Care Operations

I may use or disclose your protected health information (PHI), for treatment, payment, and health care operations purposes with your consent. To help clarify these terms, here are some definitions:

- * PHI refers to information in your health record that could identify you.

- * Treatment, Payment, and Health Care Operations

Treatment is when I provide coordinate or manage your health care and other services related to your health care. An example of treatment would be when I consult with another health care provider, such as your family physician or another psychologist.

Payment is when you obtain reimbursement for your healthcare. Examples of payment are when I disclose your PHI to your health insurer so you can obtain reimbursement for your health care or to determine eligibility or coverage.

Health care operations are activities that relate to the performance and operation of my practice. Examples of health care operations are quality assessment and improvement activities, business related matters, such as audits and administrative services, and case management and care coordination.

- * Use applies only to activities within my office such as sharing, employing, applying, utilizing, examining, and analyzing information that identifies you.
- * Disclosure applies to activities outside of my office of my office such as releasing, transferring, or providing access to information about you to other parties.

II Uses and Disclosures Requiring Authorization

I may use or disclose PHI for purposes outside of treatment, payment, or health care operations when your appropriate authorization is obtained. An "authorization" is written permission above and beyond the general consent that permits only specific

disclosures. In those instances when I am asked for information for purposes outside of treatment, payment or health care operations, I will obtain an authorization from you before releasing your psychotherapy notes. "Psychotherapy notes are notes I have made about our conversation during a private group, joint, or family counseling session, which I have kept separate from the rest of your medical record. These notes are given a greater degree of protection than PHI.

You may revoke all such authorizations (or PHI or psychotherapy notes) at any time, provided each revocation is in writing. You may not revoke an authorization to the extent that 1) I have relied on that authorization, or 2) if the authorization was obtained as a condition of obtaining insurance coverage, and the law provides the insurer the right to contest the claim under the policy.

III Uses and Disclosures with Neither Consent nor Authorization

I may use or disclose PHI without your consent or authorization in the following circumstances:

- * **Child Abuse:** If I know or have reason to believe a child is being neglected or physically or sexually abused, or has been neglected or physically or sexually abused within the preceding three years I must immediately report the information to the local welfare agency, police or sheriff's department.
- * **Adult and Domestic Abuse:** If I have reason to believe that a vulnerable adult is being or has been maltreated, or if I have knowledge that a vulnerable adult has sustained a physical injury, which is not reasonably explained, I must immediately report the information to the appropriate agency in this county. I may also report the information to a law enforcement agency.

"Vulnerable adult" means a person who, regardless of residence or whether any type of service is received, possesses a physical or mental infirmity or other physical, mental, or emotional dysfunction:

- i) that impairs the individual's ability to provide adequately for the individual's own care without assistance including the provision of food, shelter, clothing, health care, or supervision; and
- ii) because of the dysfunction or infirmity and the need for assistance, the individual has an impaired ability to protect the individual from maltreatment.

- * **Health Oversight Activities:** The Minnesota Board of Psychology may subpoena records from me if they are relevant to an investigation it is conducting.
- * **Judicial and Administrative Proceedings:** If you are involved in a court proceeding and a request is made for information about the professional services that I have provided you and/or the records thereof, such information is privileged under state law and I must not release this information without written authorization from you or your legally appointed representative, or a court order. This privilege does not apply when you are being evaluated for a third party or where the evaluation is court-ordered. I will inform you in advance if this is the case.
- * **Serious Threat to Health or Safety:** If you communicate a specific, serious threat of physical violence against a specific, clearly identified or identifiable potential victim, I must make reasonable efforts to communicate this threat to the potential victim or to a law enforcement agency. I must also do so if a member of your family or someone who knows you well has reason to believe you are capable of and will carry out that threat. I also may disclose information about you necessary to protect you from a threat to commit suicide.
- * **Worker's Compensation:** If you file a worker's compensation claim, a release of information from me to your employer, insurer, the Department of Labor and Industry or you will not need your prior approval.

IV Patient's Rights and Psychologist's Duties

Patient's Rights:

- * **Right to Request Restrictions-** You have the right to request restrictions on certain uses and disclosures of protected health information. However, I am not required to agree to a restriction you request.
- * **Right to Receive Confidential Communications by Alternative Means and at Alternative Locations—**You have the right to request and receive confidential communications of PHI by alternate means and at alternative locations. (For example, you may not want a family member to know that you are seeing me. On your request I will send your bills to another address.)
- * **Right to Inspect and Copy—**You have the right to inspect or obtain a copy (or both) of PHI (and psychotherapy notes) in my mental health and billing records used to make decisions about you for as long as the PHI is maintained in the record. I may deny your access to PHI under certain circumstances, but in some cases, you may have this decision reviewed. On your request I will discuss with you the details of the request and denial process.

- * Right to Amend—You have the right to request an amendment of PHI for as long as the PHI is maintained in the record. I may deny your request. On your request, I will discuss with you the details of the amendment process.
- * Right to an Accounting—You generally have the right to receive an accounting of disclosures of PHI for which you have neither provided consent nor authorization (as described in Section III of this Notice). On your request, I will discuss with you the details of the accounting process.
- * Right to a paper copy—You have the right to obtain a paper copy of the notice from me upon request, even if you have agreed to receive the notice electronically.

Psychologist's Duties:

- * I am required by law to maintain the privacy of PHI and to provide you with a notice of my legal duties and privacy practices with respect to PHI.
- * I reserve the right to change the privacy policies and practices described in this notice. Unless I notify you of such changes, however I am required to abide by the terms currently in effect.
- * If I revise my policies and procedures, I will provide you with an amended policy document either by mail or in person.

V. Complaints

If you are concerned that I have violated your privacy rights, or you disagree with a decision I made about access to your records you may contact the Minnesota Board of Psychology at (612) 617-2230.

You may also send a written complaint to the Secretary of the U.S. Department of Health and Human Services. The person listed above can provide you with the appropriate address upon request.

VI Effective Date, Restrictions and Changes to Privacy Policy

This notice went into effect on April 15, 2003.

I reserve the right to change the terms of this notice and to make the new notice provisions effective for all PHI that I maintain. I will provide you with a revised notice by mail or in person.

I acknowledge that I have read and am in agreement with these policies:

Client Signature: _____ Date: _____